

General Assembly

Raised Bill No. 5910

February Session, 2008

LCO No. 3110

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Referred to Committee on Human Services

Introduced by: (HS)

AN ACT CONCERNING LEGISLATIVE OVERSIGHT OF THE DEPARTMENT OF SOCIAL SERVICES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (Effective July 1, 2008) For the fiscal year ending June 30,
- 2 2009, and each fiscal year thereafter, any new or expanded initiative
- 3 included in the appropriation to the Department of Social Services in
- 4 the budget document, the Commissioner of Social Services shall issue
- 5 quarterly progress reports on the status of the implementation of such
- 6 initiatives to the joint standing committee of the General Assembly
- 7 having cognizance of matters relating to appropriations and the
- 8 budgets of state agencies and human services, and to the Office of
- 9 Fiscal Analysis, in accordance with section 11-4a of the general
- 10 statutes.
- 11 Sec. 2. Section 17b-10 of the general statutes is repealed and the
- 12 following is substituted in lieu thereof (*Effective July 1, 2008*):
- 13 (a) The Department of Social Services shall prepare and routinely
- 14 update state medical services and public assistance manuals. The
- pages of such manuals shall be consecutively numbered and indexed,

16 containing all departmental policy regulations and substantive 17 procedure, written in clear and concise language. Said manuals shall 18 be published by the department and distributed so that they are 19 available to (1) all regional and subregional offices of the Department 20 of Social Services; (2) each town hall in the state; (3) all legal assistance 21 programs in the state; and (4) any interested member of the public who 22 requests a copy. All policy manuals of the department, as they exist on 23 May 23, 1984, including the supporting bulletins but not including 24 statements concerning only the internal management of the 25 department and not affecting private rights or procedures available to 26 the public, shall be construed to have been adopted as regulations in 27 accordance with the provisions of chapter 54. [After] For the period 28 commencing May 23, 1984, and ending December 31, 2008, any policy 29 issued by the department, except a policy necessary to conform to a 30 requirement of a federal or joint federal and state program 31 administered by the department, including, but not limited to, the state 32 supplement program to the Supplemental Security Income Program, 33 shall be adopted as a regulation in accordance with the provisions of chapter 54. On and after January 1, 2009, any policy issued by the 34 35 department shall be adopted as a regulation in accordance with the 36 provisions of chapter 54. The provisions of subsections (b), (c) and (d) of this section shall not apply to any policy issued after December 31, 37 38 2008.

(b) The department shall adopt as a regulation in accordance with the provisions of chapter 54, any new policy necessary to conform to a requirement of an approved federal waiver application initiated in accordance with section 17b-8 of the 2008 supplement to the general statutes and any new policy necessary to conform to a requirement of a federal or joint state and federal program administered by the department, including, but not limited to, the state supplement program to the Supplemental Security Income Program, but the department may, for the period commencing May 23, 1984, and ending December 31, 2008, operate under such policy while it is in the process of adopting the policy as a regulation, provided the Department of

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- Social Services prints notice of intent to adopt the regulation in the Connecticut Law Journal within twenty days after adopting the policy. Such policy shall be valid until the time final regulations are effective.
 - (c) On and after July 1, 2004, the department shall submit proposed regulations that are required by subsection (b) of this section to the standing legislative regulation review committee, as provided in subsection (b) of section 4-170, not later than one hundred eighty days after publication of the notice of its intent to adopt regulations. The department shall include with the proposed regulation a statement identifying (1) the date on which the proposed regulation became effective as a policy as provided in subsection (b) of this section, and (2) any provisions of the proposed regulation that are no longer in effect on the date of the submittal of the proposed regulation, together with a list of all policies that the department has operated under, as provided in subsection (b) of this section, that superseded any provision of the proposed regulation.
 - (d) In lieu of submitting proposed regulations by the date specified in subsection (c) of this section, the department may submit to the legislative regulation review committee a notice not later than thirtyfive days before such date that the department will not be able to submit the proposed regulations on or before such date and shall include in such notice (1) the reasons why the department will not submit the proposed regulations by such date, and (2) the date by which the department will submit the proposed regulations. The legislative regulation review committee may require the department to appear before the committee at a time prescribed by the committee to further explain such reasons and to respond to any questions by the committee about the policy. The legislative regulation review committee may request the joint standing committee of the General Assembly having cognizance of matters relating to human services to review the department's policy, the department's reasons for not submitting the proposed regulations by the date specified in subsection (c) of this section and the date by which the department will

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submit the proposed regulations. Said joint standing committee may review the policy, such reasons and such date, may schedule a hearing thereon and may make a recommendation to the legislative regulation review committee.

- (e) If amendments to an existing regulation are necessary solely to conform the regulation to amendments to the general statutes, and if the amendments to the regulation do not entail any discretion by the department, the department may elect to comply with the requirements of subsection (a) of section 4-168 of the 2008 supplement to the general statutes or may proceed without prior notice or hearing. Any such amendments to a regulation shall be submitted in the form and manner prescribed in subsection (b) of section 4-170, to the Attorney General, as provided in section 4-169, and to the committee, as provided in section 4-170, for approval and upon approval shall be filed in the office of the Secretary of the State.
- 98 (f) On and after January 1, 2009, concurrent with the submission of a 99 proposed regulation to the standing legislative regulation review 100 committee, in accordance with subsection (b) of section 4-170, the 101 department shall submit such proposed regulation to the joint 102 standing committee of the General Assembly having cognizance of 103 matters relating to human services. The joint standing committee of the General Assembly having cognizance of matters relating to human 104 services shall review such proposed regulation and make a 105 106 recommendation to the legislative regulation review committee on whether such regulation should be approved. In addition, the 107 department shall submit, in accordance with subsection (b) of section 108 109 4-170, any proposed regulation affecting the Medicaid program and 110 the HUSKY Plan to the advisory council on Medicaid managed care, 111 established pursuant to section 17b-28, as amended by this act. Said 112 council shall review the proposed regulation and make a 113 recommendation to the legislative regulation review committee on 114 whether such regulation should be approved. The Departments of 115 Social Services and Children and Families shall submit, in accordance

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- with subsection (b) of section 4-170, any proposed regulation affecting
- 117 the Behavioral Health Partnership to the Behavioral Health
- 118 Partnership Oversight Council, established pursuant to section 17a-22j.
- 119 Said council shall review the proposed regulation and make a
- 120 recommendation to the legislative regulation review committee on
- whether such regulation should be approved.
- Sec. 3. Subsection (b) of section 17b-28 of the 2008 supplement to the
- 123 general statutes is repealed and the following is substituted in lieu
- thereof (*Effective July 1, 2008*):
- 125 (b) The council shall make recommendations concerning (1)
- 126 guaranteed access to enrollees and effective outreach and client
- 127 education; (2) available services comparable to those already in the
- 128 Medicaid state plan, including those guaranteed under the federal
- 129 Early and Periodic Screening, Diagnostic and Treatment Services
- 130 Program under 42 USC 1396d; (3) the sufficiency of provider networks;
- 131 (4) the sufficiency of capitated rates provider payments, financing and
- staff resources to guarantee timely access to services; (5) participation
- in managed care by existing community Medicaid providers; (6) the
- linguistic and cultural competency of providers and other program
- 135 facilitators; (7) quality assurance; (8) timely, accessible and effective
- 136 client grievance procedures; (9) coordination of the Medicaid managed
- care plan with state and federal health care reforms; (10) eligibility
- levels for inclusion in the program; (11) cost-sharing provisions; (12) a
- benefit package; (13) coordination with coverage under the HUSKY
- 140 Plan, Part B; (14) the need for program quality studies within the areas
- identified in this section and the department's application for available
- grant funds for such studies; (15) the managed care portion of the
- state-administered general assistance program; (16) any proposed
- 144 <u>regulations of the Department of Social Services concerning the</u>
- Medicaid program and the HUSKY Plan in accordance with subsection
- 146 (f) of section 17b-10, as amended by this act, and [(16)] (17) other issues
- 147 pertaining to the development of a Medicaid Research and
- 148 Demonstration Waiver under Section 1115 of the Social Security Act.

Sec. 4. Subsection (f) of section 17a-22j of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2008):

(f) The council shall make specific recommendations on matters related to the planning and implementation of the Behavioral Health Partnership which shall include, but not be limited to: (1) Review of any contract entered into by the Departments of Children and Families and Social Services with an administrative services organization, to assure that the administrative services organization's decisions are based solely on clinical management criteria developed by the clinical management committee established in section 17a-22k; (2) review of behavioral health services pursuant to Title XIX and Title XXI of the Social Security Act to assure that federal revenue is being maximized; (3) review of any proposed regulations concerning the Behavioral Health Partnership submitted by the Departments of Children and Families and Social Services in accordance with subsection (f) of section 17b-10, as amended by this act; and [(3)] (4) review of periodic reports on the program activities, finances and outcomes, including reports from the director of the Behavioral Health Partnership on achievement of service delivery system goals, pursuant to section 17a-22i. The council may conduct or cause to be conducted an external, independent evaluation of the Behavioral Health Partnership.

Sec. 5. (Effective July 1, 2008) The Secretary of the Office of Policy and Management shall conduct a study on the appropriateness of the placement of the Office of the Long-Term Care Ombudsman within the Department of Social Services and the feasibility of transferring said office to the Office of the Healthcare Advocate. Such study shall include, but not be limited to, consideration of the powers and duties that would be transferred. Not later than January 1, 2009, the secretary shall report, in accordance with section 11-4a of the general statutes, on his findings along with recommendations for any statutory changes needed to implement such change to the joint standing committee of the General Assembly having cognizance of matters relating to human

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- 182 services.
- Sec. 6 Subsection (a) of section 17b-297 of the 2008 supplement to the general statutes is repealed and the following is substituted in lieu
- thereof (*Effective July 1, 2008*):
- 186 (a) The commissioner, in consultation with the Children's Health
- 187 Council, the [Medicaid Managed Care Council] advisory council on
- 188 Medicaid managed care, established pursuant to section 17b-28, as
- amended by this act, and the 2-1-1 Infoline program, shall develop
- 190 mechanisms to increase outreach and maximize enrollment of eligible
- 191 children and adults in the HUSKY Plan, Part A or Part B, including,
- 192 but not limited to, development of mail-in applications and
- 193 appropriate outreach materials through the Department of Revenue
- 194 Services, the Labor Department, the Department of Social Services, the
- 195 Department of Public Health, the Department of Children and Families
- 196 and the Office of Protection and Advocacy for Persons with
- 197 Disabilities. Such mechanisms shall seek to maximize federal funds
- where appropriate for such outreach activities.
- 199 Sec. 7. Section 17b-306a of the 2008 supplement to the general
- 200 statutes is repealed and the following is substituted in lieu thereof
- 201 (Effective July 1, 2008):
- 202 (a) The Commissioner of Social Services, in collaboration with the
- 203 Commissioners of Public Health and Children and Families, shall
- 204 establish a child health quality improvement program for the purpose
- of promoting the implementation of evidence-based strategies by
- 206 providers participating in the HUSKY Plan, Part A and Part B to
- 207 improve the delivery of and access to children's health services. Such
- 208 strategies shall focus on physical, dental and mental health services
- and shall include, but need not be limited to: (1) Methods for early
- identification of children with special health care needs; (2) integration
- of care coordination and care planning into children's health services;
- 212 (3) implementation of standardized data collection to measure
- 213 performance improvement; and (4) implementation of family-centered

services in patient care, including, but not limited to, the development of parent-provider partnerships. The Commissioner of Social Services shall seek the participation of public and private entities that are dedicated to improving the delivery of health services, including medical, dental and mental health providers, academic professionals with experience in health services research and performance measurement and improvement, and any other entity deemed appropriate by the Commissioner of Social Services, to promote such strategies. The commissioner shall ensure that such strategies reflect new developments and best practices in the field of children's health services. As used in this section, "evidence-based strategies" means policies, procedures and tools that are informed by research and supported by empirical evidence, including, but not limited to, research developed by organizations such as the American Academy of Pediatrics, the American Academy of Family Physicians, the National Association of Pediatric Nurse Practitioners and the Institute of Medicine.

(b) Not later than July 1, 2008, and annually thereafter, the Commissioner of Social Services shall report, in accordance with section 11-4a, to the joint standing committees of the General Assembly having cognizance of matters relating to human services, public health and appropriations, and to the [Medicaid Managed Care Council] advisory council on Medicaid managed care, established pursuant to section 17b-28, as amended by this act, on (1) the implementation of any strategies developed pursuant to subsection (a) of this section, and (2) the efficacy of such strategies in improving the delivery of and access to health services for children enrolled in the HUSKY Plan.

This act shall take effect as follows and shall amend the following sections:			
Section 1	July 1, 2008	New section	
Sec. 2	July 1, 2008	17b-10	
Sec. 3	July 1, 2008	17b-28(b)	

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Sec. 4	July 1, 2008	17a-22j(f)
Sec. 5	July 1, 2008	New section
Sec. 6	July 1, 2008	New section
Sec. 7	July 1, 2008	17b-306a

Statement of Purpose:

To require quarterly reports on the implementation of new initiatives by the Department of Social Services to certain committees and to the Office of Fiscal Analysis, to eliminate the ability of the department to implement regulations prior to approval by the legislative regulation review committee, to require a review of proposed regulations by the Human Services Committee, the Medicaid Managed Care Council, and the Behavioral Health Partnership Oversight Council, to conduct a study on the transfer of the Office of the Long-Term Care Ombudsman to the Office of the Healthcare Advocate and to make minor revisions to references to the Medicaid Managed Care Council in the general statutes.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]